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*See 8/5/03*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: RUDD *et al.*

Serial No.: 09/973,476

Filing Date: October 9, 2001

Atty Dkt: 1974.003

Group Art Unit: 1614

Examiner: Unassigned

Title: CASPASE INHIBITORS FOR  
INHIBITING BLOOD CELL  
PROLIFERATION AND FOR  
TREATING AUTOIMMUNE  
DISEASES

Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Certificate of Mailing Under 37 CFR §1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on December 31, 2001.

*Candice J. Clement*

Candice J. Clement  
Attorney for Applicants  
Reg. No. 39,946

Date of Signature: December 31, 2001

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION - *Filing Date Granted***

Dear Sir:

This is a response to the Formalities Letter mailed November 15, 2001 in connection with the above-captioned patent application. As the Letter provided a two month period for response, this paper is timely filed.

Enclosed herewith, and forming a part of this response, are:

- a copy of the Formalities Letter;
- an executed Declaration and Power of Attorney; and
- a check in the amount of \$444.00 (\$370.00 basic filing fee; \$9.00 for one claim in excess of 20; and \$65.00 surcharge for late filing of Declaration/filing fee).

While Applicants believe the correct fees are enclosed, the Commissioner is authorized to charge any deficiency in fees, and to credit any overpayment, to Deposit Account 08-1935.

Respectfully submitted,

Date: December 31, 2001

*Candice J. Clement*

Candice J. Clement, Esq.  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/973,476	10/09/2001	Ralph C. Budd	1974003

COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 5318

FORMALITIES LETTER



\*OC000000007077908\*

Candice J. Clement  
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Albany, NY 12203

Date Mailed: 11/15/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$9.  
    ■ \$9 for 1 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 444.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/11/2002 BNGUYEN1 00000104 09973476

01 FC:201	370.00 OP
02 FC:203	9.00 OP
03 FC:205	65.00 OP